### COLUMBIA COLUMBIA UNIVERSITY HERBERT IRVING COMPREHENSIVE CANCER CENTER

# Mutations in SWI/SNF subunits have context-specific prognostic effects in driver subsets of NSCLC

# 9039

BACKGROUND AND HYPOTHESIS

# The Switch/Sucrose-Nonfermentable (SWI/SNF) complex remodels chromatin to modify gene expression

- There are multiple SWI/SNF complexes in cells who play a role in regulating gene expression, chromatin structure, DNA repair, and more
- ncBAF, BAF, and pBAF have different DNA binding and modifying domains. Each contains a central ATPase (SMARCA4 or SMARCA2).



Mutations in SWI/SNF complex subunits are common in non-small cell lung cancer (NSCLC)

- After known oncogenic drivers (KRAS, EGFR, etc) and well-studied tumor suppressors (TP53, STK11, KEAP1), SWI/SNF complex genes are among the most commonly mutated in NSCLC
- Despite this, the oncogenic function of these mutations remains poorly understood
- There may be specific vulnerabilities associated with some SWI/SNF mutations, but utilizing these will require a detailed understanding of different mutational contexts

# Prior attempts to understand the prognostic roles of SWI/SNF mutations has led to conflicting results in different datasets

• In some published datasets<sup>2</sup>, some SWI/SNF mutations are associated with immunotherapy sensitivity; in others, immunotherapy resistance<sup>3,4</sup>

# Therefore, we sought to comprehensively understand the prevalence and prognostic effects of all evaluable SWI/SNF mutations in a large cohort, both independently and in association with different classical NSCLC drivers

 We wanted to understand the distribution of mutations across different SWI/SNF subunits; the relationship to other markers of poor prognosis in NSCLC; the interactions with different classical driver oncogenes; the relationship with traditional markers of response to immunotherapy, and more

- exome sequencing).
- further analyzed.
- KRAS, ROS1,

### Table 1: Frequency of overall and LP/P mutations among SWI/SNF genes

SWI/SNF Genes	Any Mutation (% Prevalence)	Pathogenic/ Likely Pathogenic Mutations (% Prevalence)
ARID1A (n=30550)	17.03	8.30
SMARCA4 (n=41956)	12.08	6.29
ARID1B (n=23814)	11.18	0.00
ARID2 (n=41208)	8.53	3.05
PBRM1 (n=41717)	5.67	1.98
SS18 (n=33892)	1.78	0.00
SS18L1 (n=18335)	1.65	0.00
SMARCE1 (n=35469)	1.25	0.23
SMARCB1 (n=42245)	0.90	0.22
BCL7A (n=18294)	0.75	0.00

### Fig 2: Co-mutational landscape of SWI/SNF mutations in NSCLC

NGS-ARID1A NGS-SMARCA4 NGS-ARID2 NGS-PBRM1 NGS-SMARCB1 NGS-SMARCE1



LP/P mutations in ARID1A, SMARCA4, ARID2 and PBRM1 were among the most prevalent (Table 1). LP/P mutations in SWI/SNF genes were mutually exclusive for a vast majority of NSCLC tumors (Fig 2)



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### **METHODS**

42,329 NSCLC tumor specimens were tested at Caris Life Sciences (Phoenix, AZ) with NextGen Sequencing of DNA (592-gene or whole-

Based on the observed frequency of likely pathogenic/pathogenic (LP/P) mts, the four most commonly-altered SWI/SNF subunits – ARID1A (8.29%), SMARCA4 (6.29%), ARID2 (3.05%) and PBRM1 (1.98%) – were

• We divided the cohort by driver mutation status, specifically LP/P mts in EGFR, BRAF, ERBB2 or MET; METex14 skipping; ERBB2 amplification, LP/P fusions in ALK (including IHC overexpression), RET,

NTRK (1-3) & NRG1.Driver- tumors were devoid of mts/amplifications/fusions in all genes mentioned above.

Kaplan-Meier analysis was performed on real world survival data obtained from insurance claims.

Statistical significance was determined using chi-square and Mann-Whitney U test adjusted for multiple comparisons (q<0.05).

### RESULTS











SMARCA4 mutations were prognostic in KRASmt driven as well as in Driver-NSCLC. The prognostic role of SMARCA4 mutations remained even after accounting for KEAP1 and STK11 mutations in the Driver- cohort (Fig 4). When comparing KRASmt vs Driver- tumors. KRASmt tumors have a favorable prognosis in the SMARCA4 WT cohort and a worse prognosis in the SMARCA4 MT cohort (Fig 5).

- SWI/SNF alterations associated with worse survival.
- prognosis in NSCLC.
- These effects are robust to control for KEAP1, and STK11 status.
- mutant NSCLC

Fig 4: Prognostic role of SMARCA4 in KRAS driven and Driver- NSCLC

# RESULTS

### Table 2: Prevalence of Driver alterations in SMARCA4 MT vs WT

NSCLC		
Driver	SMARCA4 MT	SMARCA4 WI
Alterations	(% Prevalence)	(% Prevalence
mt-KRAS	29.35	30.89
mt-BRAF	2.98	4.48
mt-EGFR	1.8	14.47
cna-ERBB2	1.6	1.04
mt-ERBB2	0.97	1.75
fus-RET	0.7 <del>6</del>	0.88
mt-MET	0.56	2.8
METex14skip+	0.49	2.91
oe-ALK	0.21	2.8
fus-ROS1	0.21	0.67
fus-ALK	0.14	2.51
fus-NRG1	0.07	0.25
fus-NTRK1	0	0.05
fus-NTRK2	0	0.02
fus-NTRK3	0	0.03
Driver-	63.36	47.4

Of all SWI/SNF genes, only SMARCA4 (HR=1.46) and PBRM1(HR=1.16) LP/P mutations were associated with worse survival (Fig 3). While KRAS is the predominant driver in both SMARCA4 MT and WT tumors, alterations in EGFR constitute a significant proportion of driver altered SMARCA4 WT tumors (Table 2)

Fig 5: Prognostic cooperation of SMARCA4 and KRAS mutations in NSCLC

# CONCLUSIONS

In this largest-ever retrospective cohort of NSCLC patients with SWI/SNF mutations, SMARCA4 mutation and to a lesser extent PBRM1 mutation are the only

SMARCA4 mutation is overrepresented in KRASmut NSCLC and in NSCLC without a known classical strong driver (such as EGFR mutation, ALK fusion) • SMARCA4 mutation is associated with particularly short survival in KRASmt tumors indicating a potential cooperation of KRASmt and SMARCA4mt to drive poor

The cooperativity with KRAS may explain why different datasets have shown varying effects and in particular differing effects of immunotherapy in SMARCA4

# References

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